

<b>Meeting</b>	Police and Crime Panel
<b>Date</b>	18 March 2021
<b>Report Title</b>	PCC Risk Register
<b>Presented by:</b>	Angus Macpherson, Police and Crime Commissioner
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## **1.0 Purpose of Report**

1.1 To update the Police and Crime Panel on the PCC Risk Register.

## **2.0 Introduction and Background Information**

2.1 The risk register is aligned to the lifespan of the Police and Crime Plan (2017-2022) and has been reviewed and updated as at 1 February 2021. This version was reported to and discussed at the OPCC Executive Leadership Team (ELT) meeting on 18 February and the Commissioner's Monitoring Board (CMB) meeting on 24 February where it was still considered to reflect the current risks.

2.2 All risks are split into two categories – they are either inherent risks and will remain on the register regardless of score, or topical risks which will be removed when they have a score of 10 or under. This will assist in ensuring focus remains on current risks and what additional mitigations or plans are needed to reduce the score and thus remove the risk from the register.

2.3 The register is a dynamic document and is intended to capture live management of risk and mitigation, rather than being a record of all possible risks.

2.4 The register is reviewed on a monthly basis with a summary report on all major and moderate risks reported to meetings of CMB. A full report is submitted to CMB and the OPCC ELT prior to meetings of the Joint Independent Audit Committee and the Police and Crime Panel. The full risk register is considered at monthly meetings of the OPCC ELT. The Commissioning and Policy Officer has responsibility for the day-to-day management of the register.

2.5 Owners for each risk are identified and they are responsible for providing updates on mitigation and score.

2.6 The risk appetite remains at 30.

2.7 The PCC Risk Register is attached at Appendix A.

2.8 Attached at Appendix B is a glossary setting out the meaning of the acronyms used and the scoring brackets for identifying risks as high, moderate, acceptable or minor.

### 3.0 Summary of Risks

3.1 Table 1 below shows where each risk sits on the scoring matrix and which risks are considered to be a major risk (red) or a moderate risk (orange).

3.2 Table 2 provides further information on the risk, listing the risk event and the strategic owner for that risk.

3.3 Table 1 and Table 2 are reported to CMB on a monthly basis.

3.4 Paragraph 4 looks at those risks considered major or moderate in more detail.

		IMPACT				
LIKELIHOOD AND CONTROL	25	50	75	100	125	
	20	40	60	80	100	
	16	32	48	64	80	
	15	30	45	60	75	
	12	3 (circled) 24	36	48	60	
	10	20	30	40	50	
	9	6 (circled) 18 7 (circled) 9 (circled)	14 (circled) 27	36	45	
	8	16	1 (circled) 24	12 (circled) 32	40	
	6	12	18 13 (circled)	8 (circled) 24	30	
	5	10	15	20	25	
	4	8	11 (circled) 12	1 (circled) 6 (circled) 16	20	
	3	6	9	12	15	
	2	10 (circled) 4	3 (circled) 6 5 (circled)	4 (circled) 8 2 (circled)	10	
	1	2	3	4	5	

**Table 1: Risk Number and Scoring Matrix**

Risk	Description	Owner
T12	Impact of Covid-19 on policing and criminal justice	PCC
T14	Impact of Covid19 on health and wellbeing of OPCC and Force workforce means P&C Plan is not delivered	PCC/CC
I8	ICT services are not resilient and transformational to support effective and efficient policing	CFO
T1	Review of funding formula does not provide additional resources or reduces resources to Wiltshire	PCC/CFO
T3	Delayed implementation of new communication system as a result of national change-over from Airwave Tetra to new 4G based ESN	CC
T13	Reduced resources in 2020-21 impacting baseline and ability to deliver a policing service	CFO
I6	Partners make decisions that impact upon the PCC and Police without discussion or involvement in decision making process	PCC/CC
I7	PCC estate fails to enable effective and efficient policing	PCC
I9	Police collaborative arrangements do not deliver anticipated benefits due to weak governance and accountability and / or the impacts outweigh business benefits	CX

**Table 2: Risk Number and Description**

#### 4.0 Key Risks to Consider

##### 4.1 *Risk T12: Impact of Covid-19 on criminal justice capacity and backlog*

The Criminal Justice Board, which is chaired by the Commissioner, leads on the recovery of the criminal justice system and is linked into the Local Resilience Forum and recovery groups. A Wessex CJS Recovery Group meets weekly and all CJS partners at senior levels are represented. The magistrate backlog has significantly reduced which when this risk was reviewed in January resulted in the likelihood reducing from 4 to 3 and a reduced overall score of 32.

##### 4.2 *Risk T14: Impact of Covid19 on health and wellbeing of OPCC and Force workforce means P&C Plan is not delivered*

This risk was first recorded on the risk register in November 2020 during the second lockdown (overall score of 12). The overall score increased in January (to 18) and again in February (to 27) to recognise the impact of the third lockdown and the pressures of homeschooling. There continues to be regular communication to staff on the importance of good mental health and the services that are available to staff through the Occupational Health Unit. Where possible, staff may be able to work their hours flexibly to assist with homeschooling.

##### 4.3 *Risk I8: ICT services are not resilient and transformational to support effective and efficient policing*

ICT services are due to transfer from Wiltshire Council by 31 March 2021. Progress on this huge piece of work is being made but it has been identified that not all services may be ready to be transferred by this date. A 30 day contingency has been agreed with Wiltshire Council for those services not transferred in time. This risk remains at an overall score of 24.

- 4.4 *Risk T1: Review of funding formula does not provide additional resources or reduces resources to Wiltshire*  
The score is maintained at 24. The review of the Funding Formula has been delayed as a result of Covid19 but there is no indication yet on when this will now take place.
- 4.5 *Risk T3: Delayed implementation of new communication system as a result of national change-over from Airwave Tetra to new 4G based ESN*  
The scoring of this risk is maintained at 24 as new national guidance and a full business case is still awaited. Wiltshire has agreed to take on the assurance role (potentially from April 2021) which will provide greater insight into emerging issues.
- 4.6 *Risk T13: Reduced resources in 2020-21 impacting baseline and ability to deliver a police service*  
The financial settlement was announced in December and following the review of this and the drafting of the Medium Term Financial Strategy, this risk was reviewed in January and the control reduced from 4 to 2. This has resulted in an overall score of 18 which was maintained when reviewed again in February.
- 4.7 *Risk I6: Partners make decisions that impact upon the PCC and Police without discussion or involvement in decision making process*  
Good and effective partnership working is in place as a result of some of the cells which were established a result of Covid. This risk will be reviewed following publication of Local Authority budgets.
- 4.8 *Risk I7: PCC estate fails to enable effective and efficient policing*  
Following a review of this risk in January, the likelihood was increased from 2 to 3, resulting in an overall score of 18. The increased score is to recognise that proposals for Covid secure accommodation post March 2021 are still being progressed. This risk will be reviewed again once a way forward is identified.
- 4.9 *Risk I9: Police collaborative arrangements do not deliver anticipated benefits due to weak governance and accountability and / or the impacts outweigh business benefits*  
Scoring is maintained at 18 and the risk continues to be monitored through the Tri-Force and regional collaborations operations and strategic boards.
- 5.0 Future Reviews of Risk Register**
- 5.1 This report and version of the Risk Register will also be considered at the Joint Independent Audit Committee meeting scheduled for 25 March.
- 6.0 Staffing Implications**
- 6.1 Any staffing implications are set out in the associated risk(s).
- 7.0 Financial Implications**
- 7.1 Any financial implications are set out in the associated risk(s).
- 8.0 Legal Implications**
- 8.1 Any legal implications are set out in the associated risk(s).

## **9.0 Sustainability**

9.1 Any sustainability issues are set out in the associated risk(s).

## **10.0 Diversity Issues**

10.1 Equality and diversity issues are reflected in the respective elements of the risk register.

## **11.0 Contribution to the Police and Crime Plan 2017-2021**

11.1 The risk register is aligned to the Police and Crime Plan and identifies risks associated with not delivering the Plan and the priorities set out within it.

## **12.0 Recommendations**

12.1 The Police and Crime Panel is invited to note the content of the report and the risk register.

### **Appendices:**

Appendix A – PCC Risk Register

Appendix B – Glossary

